

Notifications of the Registrar On  
Application for Testing, Test Preparation, and Announcement  
And  
Test Rules & Regulations for Life Insurance Agent and Life Insurance Broker License  
B. E. 2551

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By virtue of Articles 7 and 11 of the Notification of the Insurance Commission on the Rules & Regulations, Procedures, and Conditions on the Granting of Permission, Permission Request, and Renewal of Life Insurance Agent and Life Insurance Broker License B. E. 2551. Hence, the Registrar has promulgated the following:

Clause 1. This notification is referred to as the “Notification of the Registrar on the Application for Testing, Test Preparation, and Announcement and Test Rules & Regulations for Life Insurance Agent and Life Insurance Broker License B. E. 2551”

Clause 2. This notification shall come into effect from the 1<sup>st</sup> of January B. E. 2552

Clause 3. In this notification  
“Office” means, the Office of Insurance Commission

Part 1

Testing of Knowledge Required for a Life Insurance Agent License

Clause 4. The testing for knowledge required for the request of a life insurance agent license must have the following number of questions, along with the following total points for each subject, as follows:

4.1 Subject of Ethics and Morality of Life Insurance Agents, 10 questions worth 20 points

4.2 Subject of Civil & Commercial Law Concerning Insurance, 10 questions worth 20 points

4.3 Subject of the Life Insurance Act B. E. 2535 as Amended by the Life Insurance Act (No. 2) B. E. 2551, 10 questions worth 20 points

4.4 Subject of General Knowledge About Life Insurance, 20 questions worth 40 points

Clause 5 The test of required knowledge under Clause 4 must be written, with a total testing period of two hours.

Chapter 2  
Knowledge Testing for Subsequent Receipt  
of  
the Life Insurance Broker License

Clause 6. The test of required knowledge for the request of life insurance broker license for the purpose of insurance management must constitute of the following number of questions, with a total point count according to the following:

- 6.1 Subject of Ethics and Morality of Life Insurance Brokers, 10 questions worth 20 points
- 6.2 Subject of the Civil & Commercial Code Concerning Insurance, 20 questions worth 40 points
- 6.3 Subject of the Life Insurance Act B. E. 2535 as Amended by the Life Insurance Act (No. 2) B. E. 2551, 20 questions worth 40 points
- 6.4 Subject of general knowledge on life insurance, 50 questions worth 100 points

Clause 7. For persons requesting a life insurance broker license for the purpose of reinsurance management, such person must also take a test on reinsurance for another 80 points after having received their life insurance broker license for the purpose of direct insurance management.

Clause 8. The test administered according clause 6 above must consist of open ended questions, with a testing period of two hours and thirty minutes. But for the knowledge test according clause 7, the test can constitute of open ended questions or multiple choice, with a testing period of 1 hour.

### Chapter 3

#### Application for Test Taking, Test Preparation, and Announcement

Clause 9. Persons applying for test taking for requesting a Life insurance agent or broker's license must submit the applications form along with a copy of their citizen's ID card.

Clause 10. Persons applying for test taking according to clause 9 must pay a testing fee as stipulated in the ministerial regulations; such fee will not be refundable.

Clause 11. The date, time, and place for test taking, as well as its organization and means by which test results will be announced will be achieved by:

11.1 Association of Life Insurance of Thailand and/or Insurance Institution of Thailand, where the test taking is for the purpose achieving the issuance of the Life insurance agent license.

11.2 The Office, where the test taking is for the purpose of achieving the issuance of the Life insurance broker license.

### Chapter 4

#### Rules on Decision Making

Clause 12. Persons applying for testing of required knowledge in clause 4 will be decided upon according to the following rules:

12.1 Pass the test on the subject of Ethics and Morality of Life Insurance Agents with a total point count of 70 or more

12.2 Pass all subjects according to sub-clauses 4.2, 4.3, and 4.4 with a combined average point count of 60 or more

The person taking the test must pass the test according to sub-clauses 12.1 and 12.2 during a single test taking trial, under such a condition, such person shall be deemed to have passed.

Clause 13. Person applying for testing of required knowledge in clause 6 will be decided upon according to the following rules:

13.1 Pass the test on the subject of Ethics and Morality of Life Insurance Agents with a total point count of 70 or more.

13.2 Pass all subjects according to sub-clauses 6.2, 6.3, and 6.4 with a combined average point count of 60 or more.

The person taking the test must pass the test according to sub-clauses 13.1 and 13.2 during a single test taking trial, under such a condition, such person shall be deemed to have passed.

Clause 14. Person applying for testing according to clause 7 will be decided upon according to the following rules:

14.1 Must be a person who passed the test of knowledge according to clause 13

14.2 Must pass the test on the subject of reinsurance with a point count of 60 or more Person taking the test must pass according to the rules as stipulated in sub-clauses 14.1 and 14.2 shall be deemed to have passed.

## Chapter 5 Testing Regulations

Clause 15. The person taking the test of knowledge must abide by the following regulations:

15.1 Must show his test ID card to the test supervisor prior to entering the testing room

15.2 Must enter the testing room at the time, date, and place stipulated in the announcement. If the said stipulated time passes by 15 minutes or more, the test applicant shall not hold the right to enter the testing room. Once in the testing room, a person taking the test is not to leave testing room within the first 20 minutes after the test started.

15.3 Must dress in proper attire

15.4 Must sit at the designated chair, while displaying his test ID card, along with another valid picture ID issued by the government on his test desk

15.5 Must use only the answer sheet given out by the test supervisor

15.6 Must provide own testing accessories, such as pen, pencil, eraser, and calculator

15.7 Must sign-in own name in the registration book of test takers provided by the test supervisor

15.8 Must not bring into the testing room, any paper, books, text books, other documents, and telecommunication equipment without prior permission from the test supervisor

15.9 Must not bring test papers or answer sheets outside the testing room

15.10 Must not talk, discuss, consult, copy, sound out loud noises, or do anything that may cause trouble for others or annoy others during the test taking process

Clause 16. Any test taker that violates the regulations as stated in clauses 1-15 of this notification shall be considered as having failed the test.

Clause 17. Any test taker that is involved in dishonest acts concerning the test taken, or allows others to take the test for them, will have their testing privilege cancelled, with the people taking the test for the original test applicant and all others involved in the dishonest activity suffering the same fate. Such testing privilege cancellation would remain so for a period of three years from the date in which the dishonest activity took place.

Where the people involved in the dishonest activity, assisting the original test applicant, in violation of this notification is already a holder of a life insurance agent or broker license, the Registrar shall wield the authority to revoke such license(s).

Promulgated on this day, the 18<sup>th</sup> of December B. E. 2551

(Mrs. Chantra Purnariksha)  
Secretary General

Insurance Commission  
Registrar

Note: The reason for the promulgation of this notification is due to the Insurance Commission's designation for the Registrar to issue notification relating to the application for test taking, announcement of test results, and testing regulations for the purpose of requesting life insurance agent and broker license. Such, has necessitated the promulgation of this notification.

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